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		Filing	Date	August 25, 200	
(to be used for all correspondence after in		First N	lamed Inventor	Zheng Zhang	
	nitial filing)	Group	Art Unit	1824	<u>.</u>
		Exami	ner Name	N/A	
Total Number of Pages in This Submission	6	Attorne	ey Docket Number	571-872	
	. ENCLO	OSURES	(check all that apply)		
Fee Transmittal Form	Assign	ment Pap Application	ers	After Allowa	nce Communicatio
Fee Attached	☐ Drawin	g(s)			munication to Boa I Interferences
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Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	ATURE OF	APPLIC	ANT, ATTORNEY, C	R AGENT	
Firm or Individual name BERESKIN & PAI Patricia Power, R		79			
Signature P. Cou.					
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JUN 0 4 2004

FORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Re:

U.S. Regular Patent Application No. 10/647,174

Entitled:

METHODS AND COMPOUNDS FOR CONTROLLING THE MORPHOLOGY

Patricia Power B.Sc., Ph.D. (Chem.) 416 957 1683 ppower@bereskinparr.com

571-872

Your Reference: 10/647,174

Our Reference:

AND SHRINKAGE OF SILICA DERIVED FROM POLYOL-MODIFIED

SILANES

Inventors: Zheng Zhang, Yang Chen, John D. Brennan and Michael A. Brook

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicants hereby submit an Information Disclosure Statement on Form PTO-1449 containing a listing of patents and other publications of which Applicant is aware. Applicants are also submitting the references listed on the Information Disclosure Statement.

All of the patents and publications submitted herewith are in the English language. Accordingly a concise explanation of the relevance of the documents is not required.

The Examiner is requested to indicate consideration of these documents by initialing the appropriate column.

Applicants reserve the right to contest the applicability of any of these documents as prior art against the subject application. If the Examiner has any questions concerning this Information Disclosure Statement, he/she is requested to contact the undersigned. Entry of the enclosed Information Disclosure Statement is believed to be in order and is respectfully requested.

This Information Disclosure Statement is being filed before the issuance of a first official action, and therefore no fees are required. However, please charge our deposit account No. 02-2095 if such a fee is required.

Respectfully submitted,

ZHENG ZHANG et al.

Patricia Power

Registration No. 51,379

Dated: June 2, 2004

Bereskin & Parr Box 401, 40 King Street West Toronto, Ontario, Canada M5H 3Y2

(416) 364-7311

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/647,174			
Filing Date	August 25, 2003			
First Named Inventor	Zheng Zhang			
Art Unit	1824			
Examiner Name	N/A			
Attorney Docket Number	571-872			

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5,624,875	04-29-1997	Nakanishi et al.		
		US-6,531,060	03-11-2003	Nakanishi et al.		
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FOREIGN PATENT DOCUMENTS							
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY			T⁵	
		EP 0363697 /	04-18-1990	Asahi Glass Company Ltd.			
		WO 01/58562	08-16-2001	University of South Florida			
<u> </u>	ļ	WO 98/29350 ~	07-09-1998	Merck Patent GmbH			
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO Complete if Known Application Number 10/647,174 INFORMATION DISCLOSURE Filing Date August 25, 2003 STATEMENT BY APPLICANT First Named Inventor Zheng Zhang Art Unit 1824 (Use as many sheets as necessary) Examiner Name N/A

Attorney Docket Number

Sheet

of 2

		NON PATENT LITERATURE DOCUMENTS
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1.	CABRERA, K. et al., "SilicaROD™ - A new challenge in fast high-performance liquid chromatography separations", Trends in Analytical Chemistry, 1998, pp. 50-53, Vol. 17, No.1.
	2.	TANAKA, N. et al., "Monolithic Silica Columns for HPLC, Micro-HPLC, and CEC", J. High Resol. Chromatogr., 2000, pp. 111-116, Vol. 23, No. 1.
	3.	ISHIZUKA, N. et al., "Preparation and Chromatographic Application of Macroporous Silicate in a Capillary", Journal of Sol-Gel Science and Technology, 2000, pp. 371-375, Vol. 19.
	4.	MOTOKAWA, M. et al., "Monolithic silica columns with various skeleton sizes and through-pore sizes for capillary liquid chromatography", Journal of Chromatography A, 2002, pp. 53-63, Vol. 961.
	5.	ISHIZUKA, N. et al., "Chromatographic characterization of macroporous monolithic silica prepared via sol-gel process", Colloids and Surfaces – A: Physicochemical and Engineering Aspects, 187-188, 2001, pp. 273-279.
	6.	KANG, J. et al., "A silica monolithic column prepared by the sol-gel process for enantiomeric separation by capillary electrochromatography", Electrophoresis, 2002, pp. 1116-1120, Vol. 23.
	7.	KIKUTA, K. et al., "Synthesis of Transparent Magadiite-Silica Hybrid Monoliths", Chem. Mater., 2002, pp. 3123- / 3127, Vol. 14.
· ·	8.	LEINWEBER, F. C. et al., "Characterization of Silica-Based Monoliths with Bimodal Pore Size Distribution", Anal. Chem., 2002, pp. 2470-2477, Vol. 74.
	9.	NAKANISHI, K. et al., "Macropore Morphology Control of Silica Gel by Spinodal Decomposition", Chemical Processing of Advanced Materials, 1992, pp. 29-41
	10.	NAKANISHI, K. et al., "Macropore Structure Design of Sol-Gel Derived Silica by Spinodal Decomposition", Porous Materials, 1993, pp. 51-60.
	11.	GILL, I. et al., "Encapsulation of Biologicals within Silicate, Siloxane, and Hybrid Sol-Gel Polymers: An Efficient and Generic Approach", J. Am. Chem. Soc., 1998, pp. 8587-8598, Vol. 120.
	12.	Gill, I., "Bio-doped Nanocomposite Polymers: Sol-Gel Bioencapsulates", Chem. Mater., 2001, pp. 3404-3421, Vol. 13.
	13.	NAKANISHI, K. et al., "Synthisis of silica gel by polymer-mixed sol-gel method", Chem. Abstracts, AN. 118:259529, Shinsozai (1992), pp. 44-49, Vol. 3, No. 11.
	14.	TANAKA, N. et al., "Octadecylsilyated porous silica rod for reversed-phase liquid chromatography", Chem. Abstracts, AN. 121:92756, Kuromatogurafi (1993), pp. 50-51, Vol. 14, No. 5.

Examiner	1	Date	***
Signature		Considered	

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.